

Risk Communication and Community engagement (RCCE) for COVID-19

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■ Risk Communication and Community engagement (RCCE) for COVID-19

Outline

1. What's Risk Communication: Concepts & Frameworks
2. RCCE framework for COVID-19
3. What worked, what did not work (and where)
4. Recommendations



What is risk communication?



■ Risk Communication: Some definitions

“An interactive process of exchange of information and opinion among individuals, groups, and institutions [involving] the dissemination of individual and population **health risk information**” (USDHHS, 2002; 2005)

It integrates **risk** and **emergency approaches** in order to ***prepare for, respond to, and recover from*** epidemics, outbreaks etc. (CDC, 2013)

“Real-time exchange of information, advice and opinions between experts or officials and people who face a **threat** (hazard) to their survival, health or economic or social well-being.

Its ultimate purpose is that everyone at risk is able to take **informed decisions** to mitigate the effects of the **threat** (hazard) such as a disease outbreak and take protective and preventive action” (WHO, 2020)

Global and Large-Scale Health Threats

Environment: waste disposal, overpopulation, smog and air pollution, water pollution, wildfires...

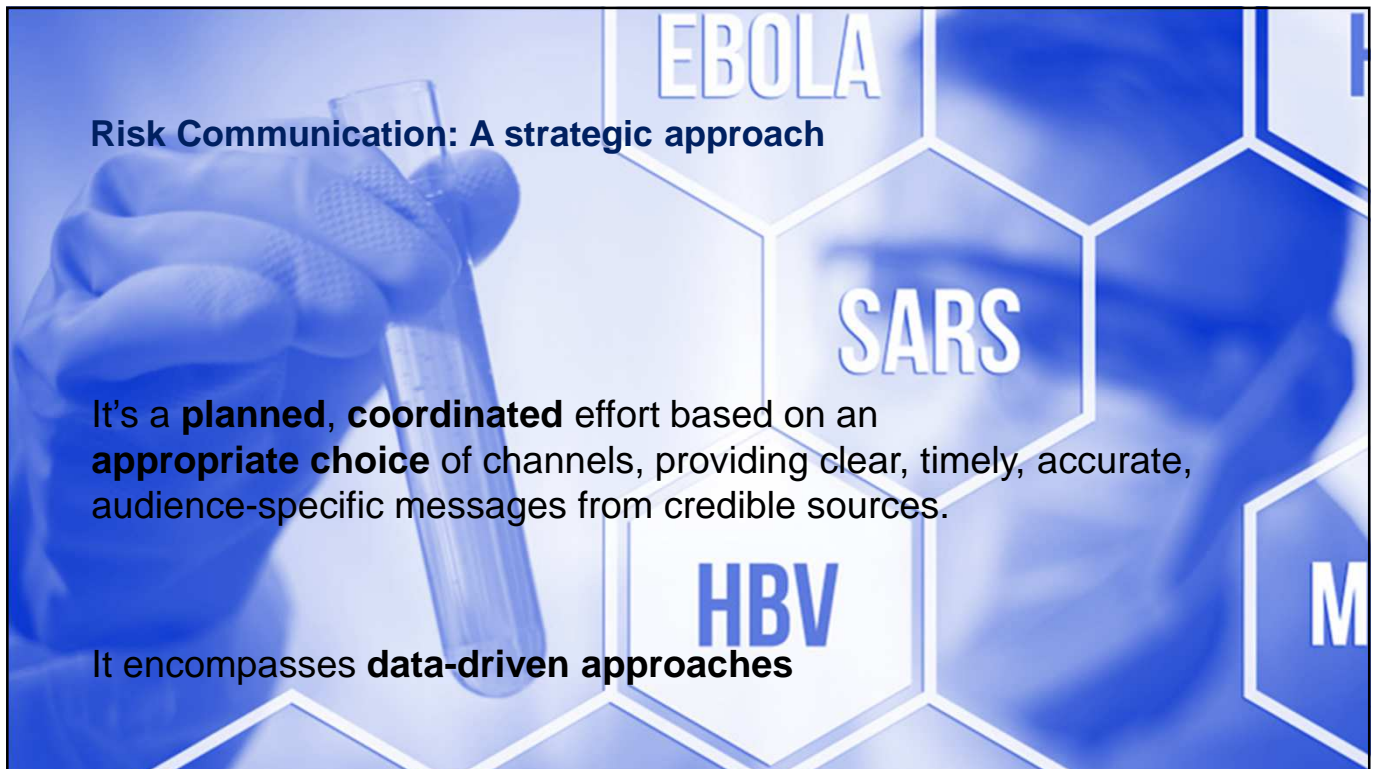
Hunger: stunted growth, susceptibility to disease, cognitive impairment, and early mortality rates

Pandemics: HIV/AIDS, SARS/Avian Flu, Zika, Ebola... COVID-19

Terrorism: fear, guilt, post-traumatic stress disorder, depression and other mental health problems

Since the early 2000s...

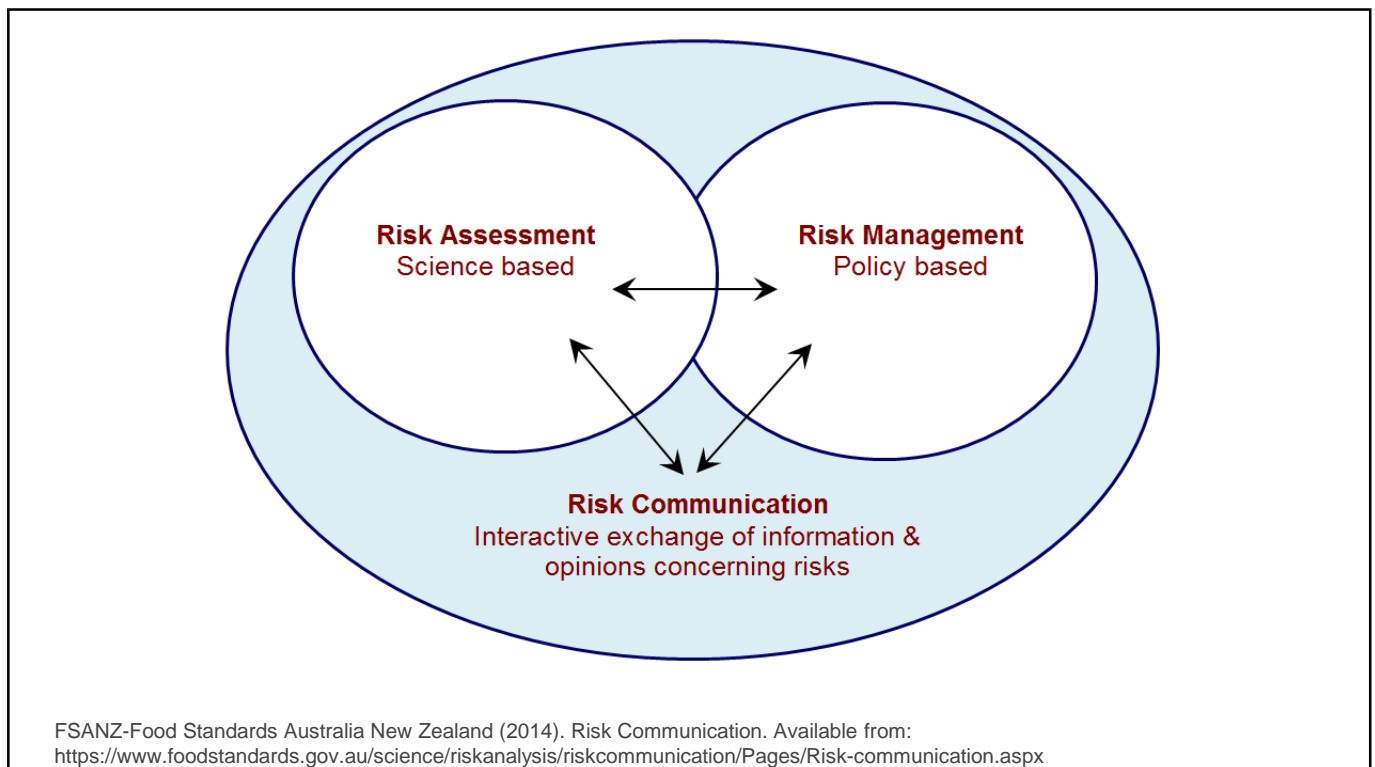
More resources have been dedicated by governments around the world to develop risk communication plans, due to emerging crises and emergencies for diseases such as SARS and West Nile Virus (2003), avian flu, zika..., but also terrorism

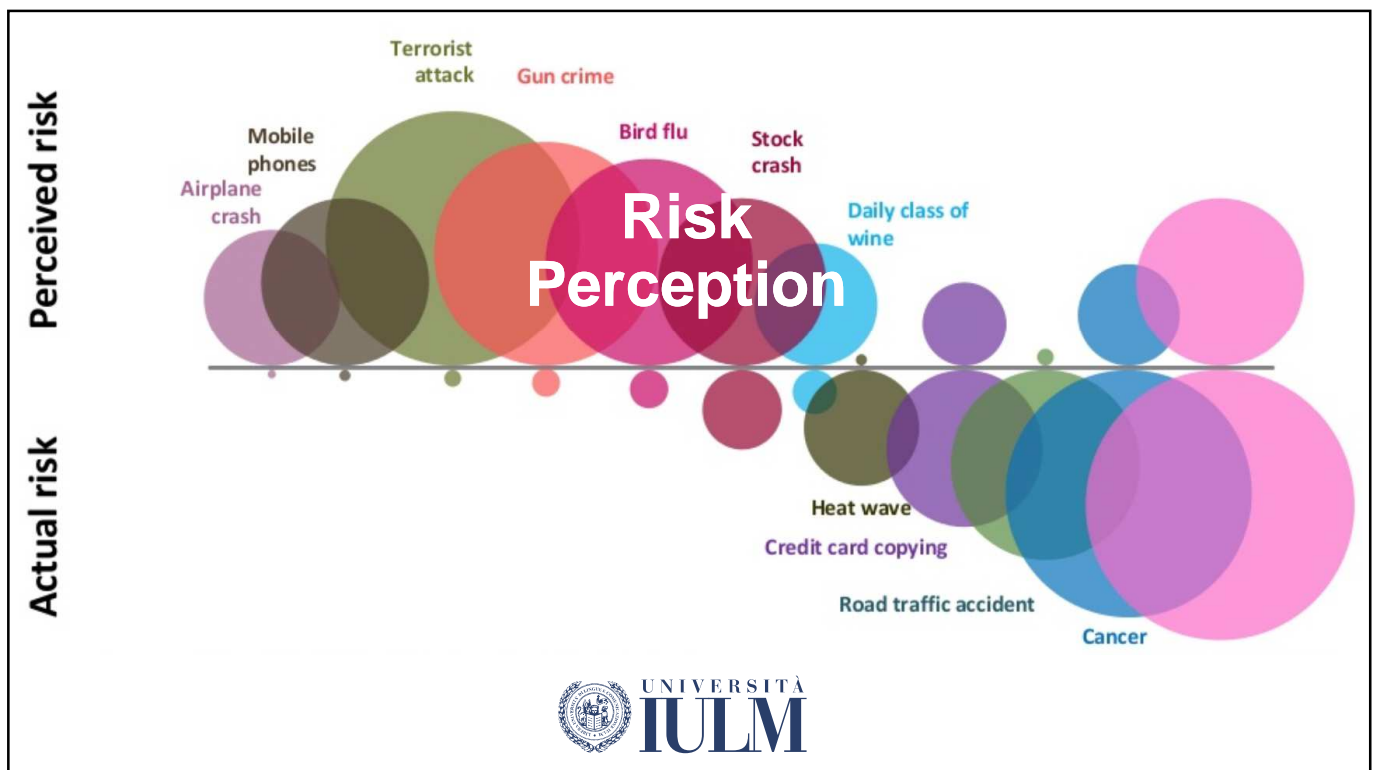


Risk Communication: A strategic approach

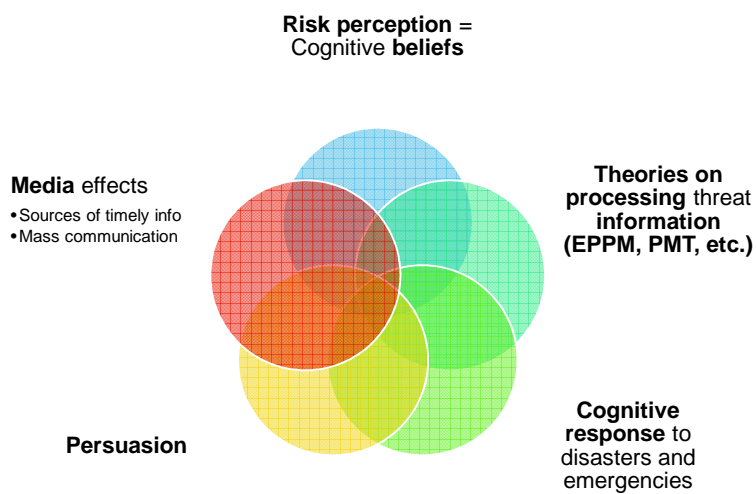
It's a **planned, coordinated** effort based on an **appropriate choice** of channels, providing clear, timely, accurate, audience-specific messages from credible sources.

It encompasses **data-driven approaches**





■ Communication & behavioral sciences scholarship related to Risk Communication (Glick, 2007)



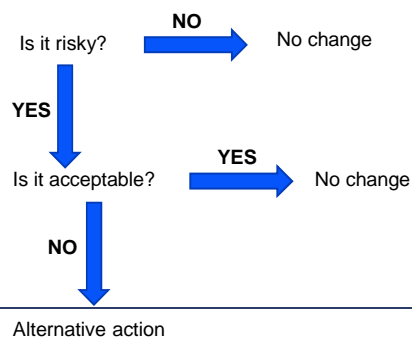
Glik D. C. (2007). Risk communication for public health emergencies. *Annual Review of Public Health*, 28, 33–54.
doi:10.1146/annurev.publhealth.28.021406.144123

■ What is health risk?

A general framework of risk assessment (at the individual level)

“The **perception** of the subjective likelihood of the occurrence of a negative event related to health for a person over a specified period of time” Menon et al, 2008

People **assess risks** involved with any action:



■ Some relevant risk communication frameworks

Main strategic planning tools used to develop risk communication plans

Communication for Behavioral Impact (COMBI) – WHO - used in outbreaks

Crisis and Emergency Risk Communication (CERC) – CDC

Risk Communication and Community Engagement (RCCE) – WHO + Interagency

■ Crisis and Emergency Risk Communication (CDC)

The CERC Rhythm

Engage Community • Empower Decision-Making • Evaluate

Preparation

- Draft and test messages
- Develop partnerships
- Create plans
- Determine approval process

Initial

- Express empathy
- Explain risks
- Promote action
- Describe response efforts

Maintenance

- Explain ongoing risks
- Segment audiences
- Provide background information
- Address rumors

Resolution

- Motivate vigilance
- Discuss lessons learned
- Revise plan

CERC Manual (2018). CERC Introduction: https://emergency.cdc.gov/cerc/ppt/CERC_Introduction.pdf



■ Six principles of CERC

1	Be first: Crises are time-sensitive. Communicating information quickly is crucial. For members of the public, the first source of information often becomes the preferred source.
2	Be right: Accuracy establishes credibility. Information can include what is known, what is not known, and what is being done to fill in the gaps.
3	Be credible: Honesty and truthfulness should not be compromised during crises.
4	Express empathy: Crises create harm, and the suffering should be acknowledged in words. Addressing what people are feeling, and the challenges they face, builds trust and rapport.
5	Promote action: Giving people meaningful things to do calms anxiety, helps restore order, and promotes some sense of control. ³
6	Show respect: Respectful communication is particularly important when people feel vulnerable. Respectful communication promotes cooperation and rapport.

CERC Manual (2018). CERC Introduction: https://emergency.cdc.gov/cerc/ppt/CERC_Introduction.pdf



Risk Communication & Community Engagement



■ Best practices in Risk Communication (According to WHO)

1. Create and maintain trust
2. Acknowledge and communicate even in uncertainty
3. Coordinate
4. Be transparent & fast with the first and all communications
5. Be proactive in public communication
6. Involve and engage those affected
7. Use integrated approaches
8. Build national capacity, support national ownership

■ What is RCCE

Risk Communication and Community Engagement, uses **community engagement strategies** to involve communities in the response and develops **acceptable** and **beneficial interventions** to stop further amplification of the outbreak and to ensure that individuals and groups take protective measures.

Is essential for surveillance, case reporting, contact tracing, caring for the sick, delivering clinical care, and gathering local support for any logistic and operational needs for the response.

Can minimize social disruption. Therefore, in addition to protecting health, it can protect jobs, tourism, and the economy.

■ Risk Communication & Community Engagement

One of the major lessons learned during major public health events of the 21st century – including outbreaks of the severe acute respiratory syndrome (SARS), the Middle East respiratory syndrome (MERS), influenza A (H1N1), and Ebola virus disease – is that **RCCE** is integral to the success of responses to health emergencies.

Every public health emergency faces new communication challenges and can benefit from lessons learned previously. The COVID-19 outbreak challenges public health systems and their ability to **effectively communicate with their populations**.

Failure to communicate well lead to a **loss of trust** and **reputation, economic impacts**, and – in the worst case – **loss of lives**.

■ Who is more at risk?

Risk factors for different communities

Social Status: Infants, children, elderly, single females living alone, certain racial or ethnic groups, unemployed, low income – who cannot 'stay at home'

Social Capital: Those who have few and limited interpersonal ties among people within a community, and the resources made available to the community

Human Capital: Communities that have a low investment in people's skills and capabilities that enable them to act in new ways or enhance their ability to be productive members of society

■ Effective RCCE

Helps prevent “**infodemics**” (an excessive amount of information about a problem that makes it difficult to identify a solution), builds trust in the response, and increases the probability that health advice will be followed. It minimizes and manages rumors and misunderstandings that undermine responses and may lead to further disease spread.

Regular and proactive communication and engagement with the public and at-risk populations can help alleviate confusion and avoid misunderstandings.

People have the **right to be informed** about and understand the health risks that they and their loved ones face.

The **perception of risk** among affected populations often differs from that of experts and authorities. Effective RCCE can help bridge that gap by determining what people know, how they feel, and what they do in response to disease outbreaks, as well as what they ought to know and do to bring the outbreak under control. Effective RCCE helps transform and deliver complex scientific knowledge so that it is understood by, accessible to, and trusted by populations and communities.



RCCE ACTION PLAN GUIDANCE

COVID-19 preparedness & response



March 16, 2020



COVID-19 Global Response

RISK COMMUNICATION & COMMUNITY
ENGAGEMENT (RCCE) STRATEGY

16, 2020



Country risk and vulnerability mapping

Stage of the disease by preparedness capacity



February 2020

Level 2 and 1: High risk of imported + preparedness



World Health Organization

COVID-19 STRATEGIC PREPAREDNESS AND RESPONSE PLAN
Country Preparedness and Response Status for COVID-19
as of 11 February 2020

Table 1: COVID-19 Preparedness and Response Status for Countries, Territories, and Areas,² as of 11 February 2020

Response Category	Country Preparedness Capacity				
	Level 5	Level 4	Level 3	Level 2	Level 1
5- Community transmission	China				
4- Localized transmission	Germany Japan Malaysia Republic of Korea	Singapore United States of America United Arab Emirates United Kingdom of Great Britain and Northern Ireland	France Thailand Viet Nam		
3- Imported cases	Australia Belgium Canada Finland	Italy Spain Sweden	Russian Federation Cambodia India Nepal	Philippines Sri Lanka	

Response Category	Country Preparedness Capacity				
	Level 5	Level 4	Level 3	Level 2	Level 1
2- High risk of imported cases	Denmark Iceland Israel Netherlands	New Zealand Austria Norway Portugal Switzerland	Algeria Austria Bahrain Brazil Brunei Darussalam Bulgaria Chile Croatia Cyprus Czechia Egypt Greece	Hungary Iran (Islamic Republic of) Ireland Kuwait Mauritius Mexico Morocco Poland Romania Saudi Arabia Turkey	Angola Antigua and Barbuda Argentina Azerbaijan Bahamas Bangladesh Barbados Belarus Belize Bhutan Cameroon Congo Cote d'Ivoire Cuba Democratic Republic of the Congo Democratic Republic of Korea Dominica Ecuador Ethiopia Georgia Ghana Grenada Guinea Guyana Indonesia Jamaica Jordan Kenya Kiribati Korea Kuwait Laos Lebanon Lesotho Liberia Lithuania Luxembourg Madagascar Malawi Maldives Mali Moldova Mongolia Morocco Mozambique Myanmar Niger Nigeria Oman Pakistan Papua New Guinea Paraguay Peru Philippines Poland Portugal Qatar Republic of the Congo Rwanda Saint Kitts and Nevis Saint Lucia Saint Vincent and the Grenadines Senegal Sierra Leone South Africa South Korea Sri Lanka Sudan Suriname Switzerland Tanzania Togo Tonga Trinidad and Tobago Tunisia Turkmenistan Uganda Ukraine United Arab Emirates United Kingdom of Great Britain and Northern Ireland United States of America Uruguay Vanuatu Venezuela Viet Nam Yemen Zambia Zimbabwe
1- Preparedness	Lithuania Morocco	Slovenia	Andorra Costa Rica Estonia Latvia	Luxembourg Malta San Marino Slovakia	Albania Armenia Azerbaijan Bosnia and Herzegovina Botswana Bulgaria Cape Verde Croatia Cyprus Czechia Denmark Ecuador El Salvador Georgia Ghana Guinea Honduras Hungary Iceland India Indonesia Israel Italy Japan Jordan Kazakhstan Kenya Korea Kuwait Laos Lebanon Lesotho Liberia Lithuania Luxembourg Madagascar Malawi Maldives Mali Moldova Mongolia Morocco Mozambique Myanmar Niger Nigeria Oman Pakistan Papua New Guinea Paraguay Peru Philippines Poland Portugal Qatar Republic of the Congo Rwanda Saint Kitts and Nevis Saint Lucia Saint Vincent and the Grenadines Senegal Sierra Leone South Africa South Korea Sri Lanka Sudan Suriname Switzerland Tanzania Togo Tonga Trinidad and Tobago Tunisia Turkmenistan Uganda Ukraine United Arab Emirates United Kingdom of Great Britain and Northern Ireland United States of America Uruguay Vanuatu Venezuela Viet Nam Yemen Zambia Zimbabwe

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June 2020

Levels 5 and f: Community transmission

Response Category	Country Preparedness Capacity				
	Level 5	Level 4	Level 3	Level 2	Level 1
5- Community transmission	Belgium Canada Denmark Germany Iceland Israel Lithuania Netherlands Portugal	Spain Sweden Switzerland United Kingdom of Great Britain and Northern Ireland United States of America	Algeria Andorra Austria Brazil Cote d'Ivoire France Hungary Israel Italy Japan Jordan Kazakhstan Kenya Korea Kuwait Laos Lebanon Lesotho Liberia Lithuania Luxembourg Madagascar Malawi Maldives Mali Moldova Mongolia Morocco Mozambique Myanmar Niger Nigeria Oman Pakistan Papua New Guinea Paraguay Peru Philippines Poland Portugal Qatar Republic of the Congo Rwanda Saint Kitts and Nevis Saint Lucia Saint Vincent and the Grenadines Senegal Sierra Leone South Africa South Korea Sri Lanka Sudan Suriname Switzerland Tanzania Togo Tonga Trinidad and Tobago Tunisia Turkmenistan Uganda Ukraine United Arab Emirates United Kingdom of Great Britain and Northern Ireland United States of America Uruguay Vanuatu Venezuela Viet Nam Yemen Zambia Zimbabwe	Benin Bosnia and Herzegovina Botswana Bulgaria Cape Verde Croatia Cyprus Czechia Denmark Ecuador El Salvador Georgia Ghana Guinea Honduras Hungary Iceland India Indonesia Israel Italy Japan Jordan Kazakhstan Kenya Korea Kuwait Laos Lebanon Lesotho Liberia Lithuania Luxembourg Madagascar Malawi Maldives Mali Moldova Mongolia Morocco Mozambique Myanmar Niger Nigeria Oman Pakistan Papua New Guinea Paraguay Peru Philippines Poland Portugal Qatar Republic of the Congo Rwanda Saint Kitts and Nevis Saint Lucia Saint Vincent and the Grenadines Senegal Sierra Leone South Africa South Korea Sri Lanka Sudan Suriname Switzerland Tanzania Togo Tonga Trinidad and Tobago Tunisia Turkmenistan Uganda Ukraine United Arab Emirates United Kingdom of Great Britain and Northern Ireland United States of America Uruguay Vanuatu Venezuela Viet Nam Yemen Zambia Zimbabwe	Comoros
4- >10 cases	Australia China Finland Israel Japan Malaysia Monaco	New Zealand Bahrain Brunei Denmark Ecuador El Salvador Georgia Ghana Guinea Honduras Hungary Iceland India Indonesia Israel Italy Japan Jordan Kazakhstan Kenya Korea Kuwait Laos Lebanon Lesotho Liberia Lithuania Luxembourg Madagascar Malawi Maldives Mali Moldova Mongolia Morocco Mozambique Myanmar Niger Nigeria Oman Pakistan Papua New Guinea Paraguay Peru Philippines Poland Portugal Qatar Republic of the Congo Rwanda Saint Kitts and Nevis Saint Lucia Saint Vincent and the Grenadines Senegal Sierra Leone South Africa South Korea Sri Lanka Sudan Suriname Switzerland Tanzania Togo Tonga Trinidad and Tobago Tunisia Turkmenistan Uganda Ukraine United Arab Emirates United Kingdom of Great Britain and Northern Ireland United States of America Uruguay Vanuatu Venezuela Viet Nam Yemen Zambia Zimbabwe	Albania Angola Antigua and Barbuda Azerbaijan Bahamas Bangladesh Barbados Belarus Belize Bhutan Cameroon Congo Cote d'Ivoire Democratic Republic of the Congo Dominican Republic Ecuador El Salvador Georgia Ghana Guinea Honduras Hungary Iceland India Indonesia Israel Italy Japan Jordan Kazakhstan Kenya Korea Kuwait Laos Lebanon Lesotho Liberia Lithuania Luxembourg Madagascar Malawi Maldives Mali Moldova Mongolia Morocco Mozambique Myanmar Niger Nigeria Oman Pakistan Papua New Guinea Paraguay Peru Philippines Poland Portugal Qatar Republic of the Congo Rwanda Saint Kitts and Nevis Saint Lucia Saint Vincent and the Grenadines Senegal Sierra Leone South Africa South Korea Sri Lanka Sudan Suriname Switzerland Tanzania Togo Tonga Trinidad and Tobago Tunisia Turkmenistan Uganda Ukraine United Arab Emirates United Kingdom of Great Britain and Northern Ireland United States of America Uruguay Vanuatu Venezuela Viet Nam Yemen Zambia Zimbabwe	Albania Armenia Azerbaijan Bosnia and Herzegovina Botswana Bulgaria Cape Verde Croatia Cyprus Czechia Denmark Ecuador El Salvador Georgia Ghana Guinea Honduras Hungary Iceland India Indonesia Israel Italy Japan Jordan Kazakhstan Kenya Korea Kuwait Laos Lebanon Lesotho Liberia Lithuania Luxembourg Madagascar Malawi Maldives Mali Moldova Mongolia Morocco Mozambique Myanmar Niger Nigeria Oman Pakistan Papua New Guinea Paraguay Peru Philippines Poland Portugal Qatar Republic of the Congo Rwanda Saint Kitts and Nevis Saint Lucia Saint Vincent and the Grenadines Senegal Sierra Leone South Africa South Korea Sri Lanka Sudan Suriname Switzerland Tanzania Togo Tonga Trinidad and Tobago Tunisia Turkmenistan Uganda Ukraine United Arab Emirates United Kingdom of Great Britain and Northern Ireland United States of America Uruguay Vanuatu Venezuela Viet Nam Yemen Zambia Zimbabwe	Central African Republic

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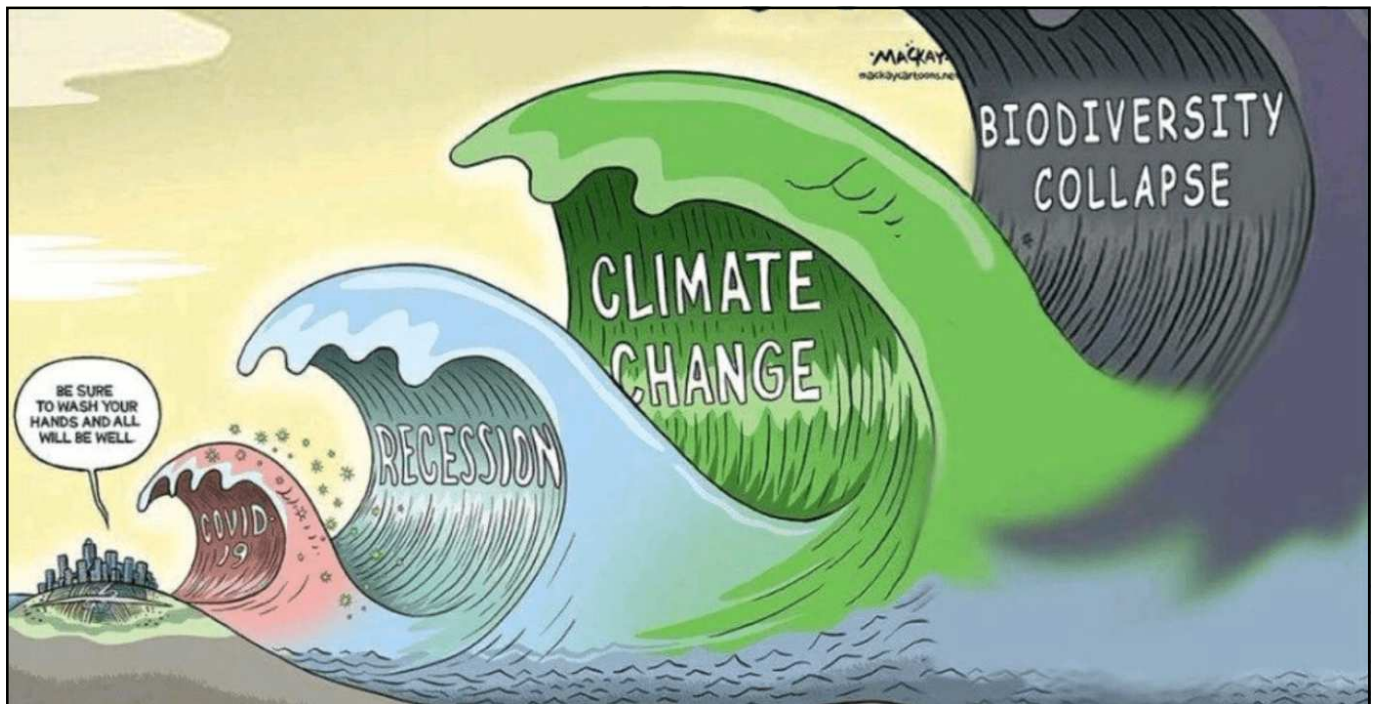
Response Category	Country Preparedness Capacity				
	Level 5	Level 4	Level 3	Level 2	Level 1
3- <10 cases				Lesotho Papua New Guinea	
2- High risk of imported cases			Democratic Republic of the Congo Turkmenistan	Kiribati Marshall Islands Micronesia (Federated States of) Samoa	Solomon Islands Tonga Tuvalu Vanuatu
1- Preparedness				Cook Islands Nauru Palau	Niue Paraguay

COVID-19 and Risk Communication: What worked and what did not work?



■ Problem 1: The issue itself

1. **It's a "novel" coronavirus:** the scientific community is still learning about it, there are still many questions that have not been answered yet > **UNCERTAINTY**
2. **The size of the problem:** This is a global pandemic, whose proportion and scale was overlooked and underplayed > **LACK OF CONSISTENCY**
3. **Root causes:** These are still unclear, but might be due to bigger man-made problems, such as climate change, antibiotic resistance, overpopulation



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Graeme MacKay's Editorial Cartoon Archive – Wednesday March 11, 2020 <https://mackaycartoons.net/2020/03/18/wednesday-march-11-2020/>

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Crises never come alone

- COVID-19 cases on the rise
(case positivity rate 10%+ in between July and August)
- Hyperinflation continues
- Depreciation of the Lebanese Pound
- 55% in poverty (27% extreme poverty)

29 <https://www.trtworld.com/middle-east/death-toll-rises-after-deadly-explosion-in-lebanon-s-beirut-38647>

UNIVERSITA IULM



30 <https://www.yourbasin.com/news/beirut-explosion-bares-pitfalls-of-sending-aid-to-lebanon/>

UNIVERSITA IULM

■ Problem 2: The response

1. **Preparedness:** No strategies in place, not efficient > **EMERGENCY VS. PLANNED RESPONSE**
2. **Community engagement:** To what extent has there been a real community engagement?
> **ONE-WAY, VERTICAL VS. TWO-WAY, HORIZONTAL**

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■ Problem 3: The communication process (1)

The messenger: Where are the experts? And who is an expert in COVID19?

Communication Type	Crisis Communication	Issues Management Communication	Risk Communication	Crisis and Emergency Risk Communication
Communicator	Member of the organization impacted by the crisis	Member of the organization impacted by the crisis	Expert who is not directly impacted by outcomes	Expert who is impacted by outcomes
Timing	Urgent and unexpected	Anticipated; timing is somewhat controlled by the communicator	Anticipated with little or no time pressure	Urgent and unexpected
Message Purpose	Explain and persuade	Explain and persuade	Empower decision-making	Explain, persuade, and empower decision-making.

■ Who's the expert?

Politicians are not experts > POLITICIZATION OF THE ISSUE



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■ Problem 3: The communication process (2)

The message: Unclear, not action oriented, not boosting self-efficacy



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■ Problem 3: The communication process (3)

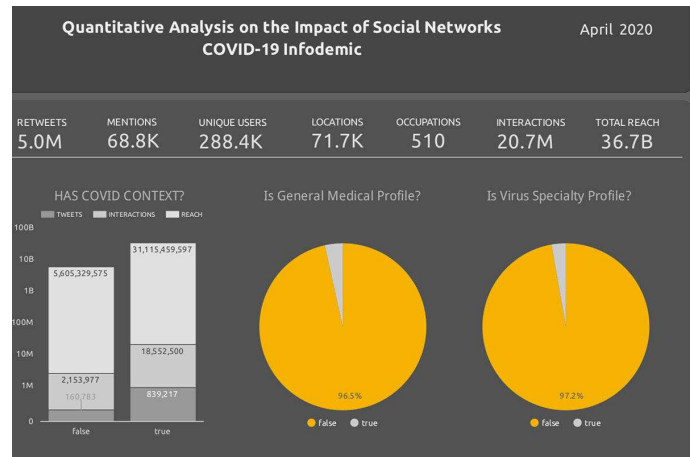
The content of the message: Lack of consistency



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■ Problem 4: The channels: social media & infodemics

Fake news, conspiracy theories are more viral than the virus > **LACK OF TRUST**



<https://news.lau.edu.lb/2020/research-highlight-twitter-lies-and-covid-19-defeating-the-coronavirus-infodemic.php>

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Recommendations



■ Recommendations and considerations on the future

COVID-19 is here to stay but other pandemics can be behind the corner

Preparedness and prevention plans need to be tested and validated (using COVID-19 as pilot)

Long-term RCCE strategies need to be implemented to reach vulnerable segments of the population in an equitable and inclusive way

Health systems should adapt to new challenges (social, economic, cultural)

Trust needs to be built and maintained through **transparency, constancy, active listening** programs, and **encouraging dialogue**

Agencies and governments need to share knowledge about certainty and uncertainty

Communication has a role in promoting vaccination uptake <https://www.mdpi.com/1660-4601/17/16/5893>

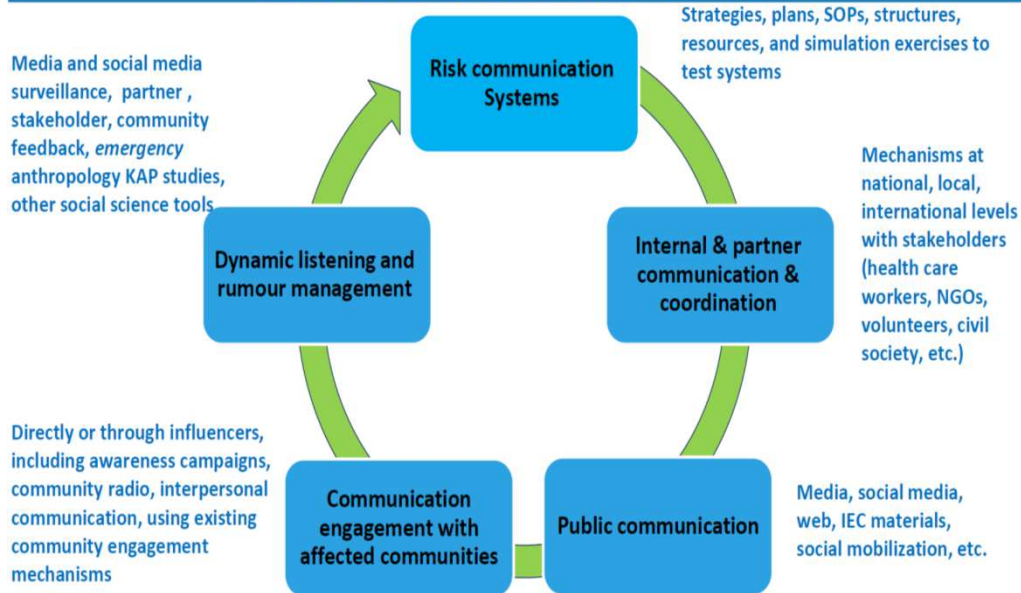


Extra materials

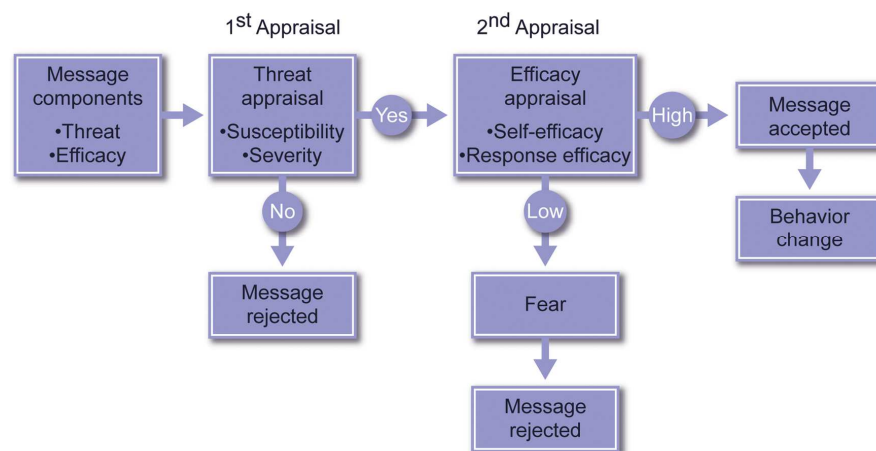


An integrated model for emergency risk communication

Adapted from new IHR external assessment tool – WHO

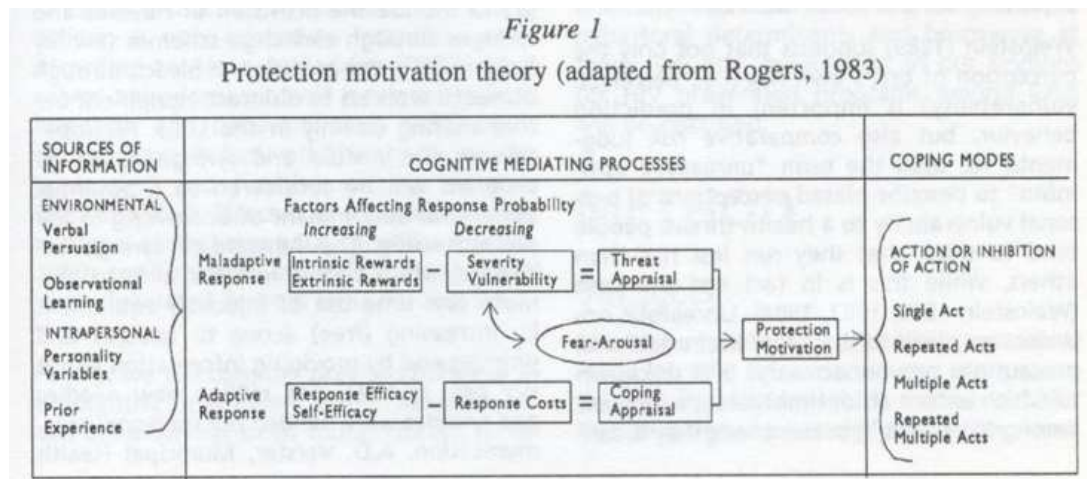


An explanatory model: Extended Parallel Process Model- EPPM



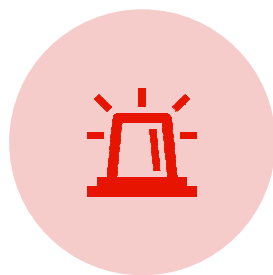
■ Protection Motivation Theory (Rogers 1983)

Source: https://www.utwente.nl/en/bms/communication-theories/sorted-by-cluster/Health%20Communication/Protection_Motivation_Theory/



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■ People balance between



Threat control



Danger control

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■ What does research say? (see Ruiter et al. 2014)

Fear appeal elements that work (reduce risk)

Strengthen self-efficacy (protection)

Promote response efficacy (risk/danger avoidance)

Raise susceptibility

Fear appeals that generally **do not work**:

> **Use of emotions to increase the severity**

■
Fear arousal in
health
communication
campaigns is
usually coupled
with...



**Absent or
minimal action-
oriented
instructions**

“call you physician”

“call our hotline”

“don’t drink and drive”

Use instead:

*“Think about how you will go about saying no...” (provide ways
to overcome peer pressure)*



**Lack of self-
efficacy
enhancement**

*“List two different
ways to avoid peer
pressure to drink”*

■ Organizing the message

Problem-solution pattern is recommended

**Problem
portion**

- Threat severity: possible use of statistics
- Arguments: heightened perceptions of vulnerability of the threat

**Solution
portion**

- Recommended solutions to avoid the threat
- Must demonstrate response efficacy and personal efficacy

Action instructions need to be given

Motivation/intention is a defining factor for behavior change